



## Beethoven

*Sonata para piano em Dó Menor, op. 10, n.º.1, III*

*Por*

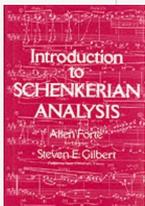
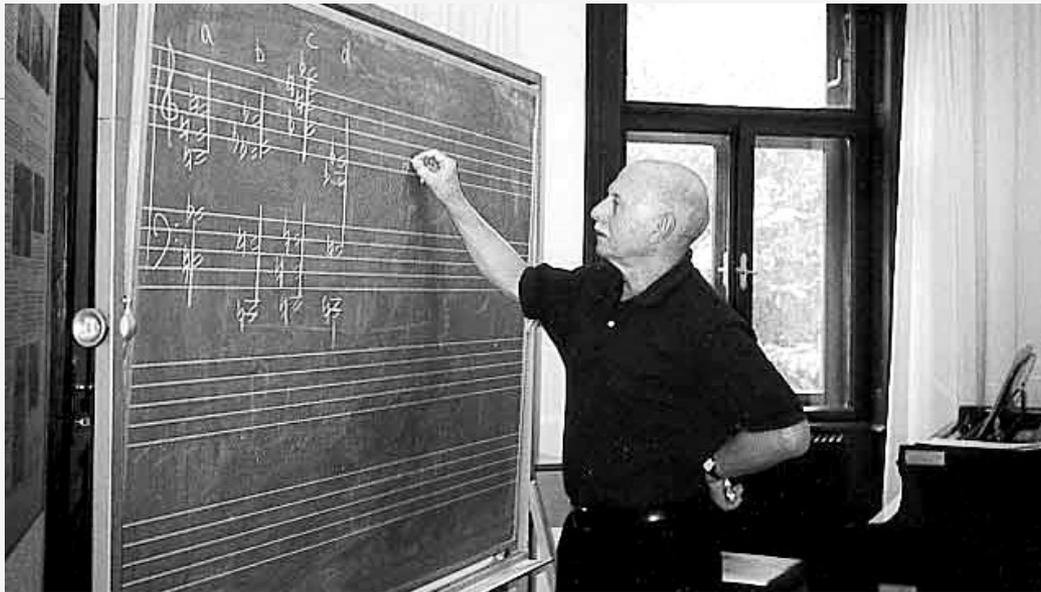
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*Steven E. Gilbert (California State University, Fresno)*



**Introduction to Schenkerian Analysis**

*1982, Norton & Company, Inc. N.Y.*

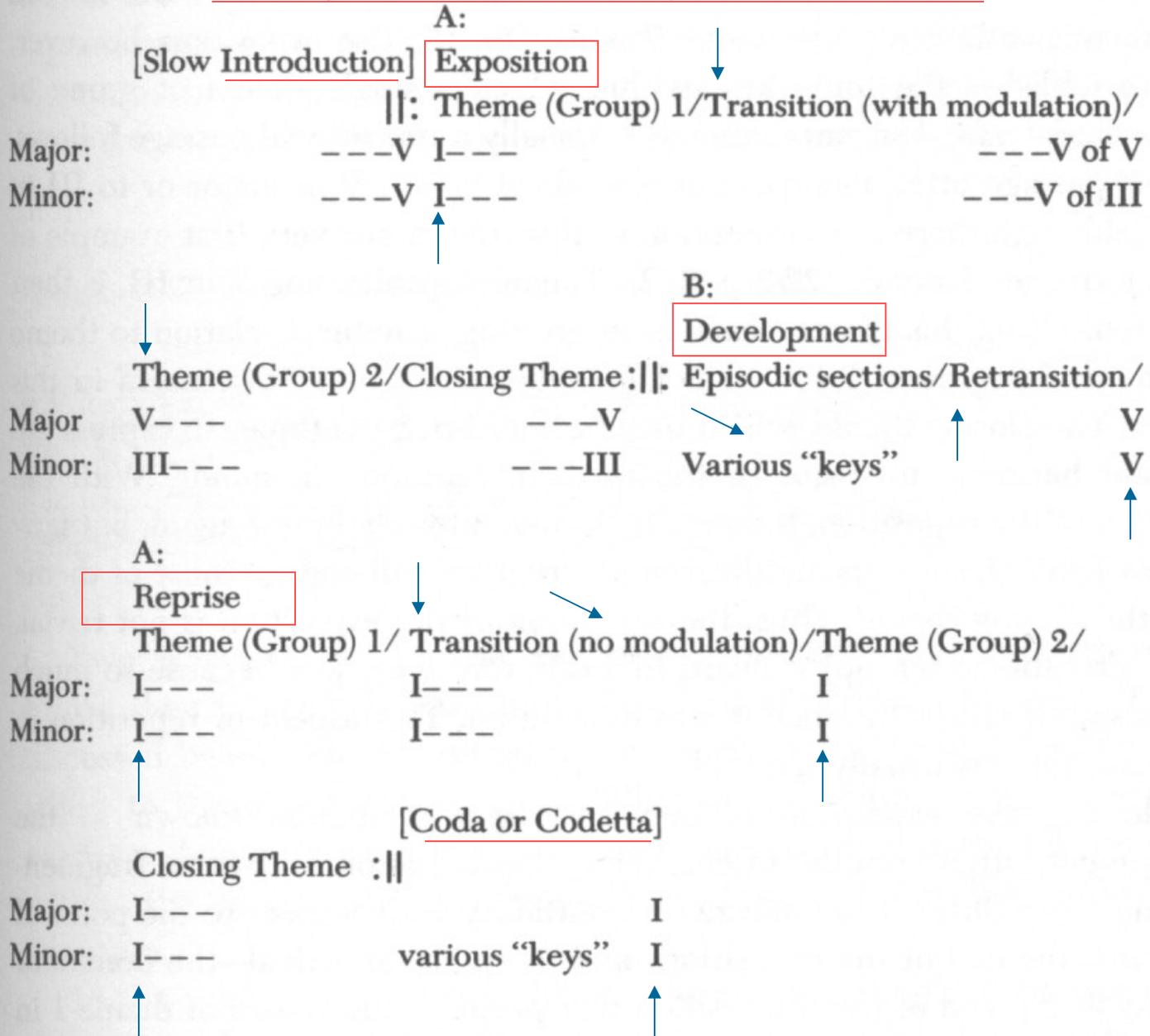


Allen Forte em uma *aula-concerto* na **Arnold Schönberg House** (Viena, 2001)

*O quanto é preciso primeiro encontrar,  
o quanto é preciso então suprimir  
para se chegar à carne nua da emoção.*

Claude Debussy

**EXAMPLE 219. Summary of Sonata Form in Major and Minor Modes**





### EXAMPLE 242. Beethoven, *Sonata in C minor*, III: Summary of Form

**Exposition:** I–III

Theme 1: I (C minor)

Part 1	Part 2
1–8	8–12

Transition: I–V $\sharp$

12–16

Theme 2: III (E $\flat$ )

Part 1	Part 2	Part 3	Part 4
16–24	24–28	28–33	34–37

Closing Theme and Codetta (III)

37–45

**Development:** III–V

Part 1	Part 2
46–52	52–56

**Reprise:** I

Theme 1: I

57–73
(same as Exposition)

Theme 2: I $\sharp$ –I $\flat$

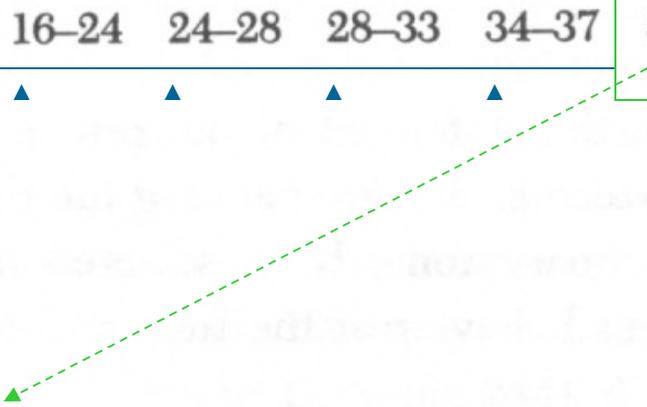
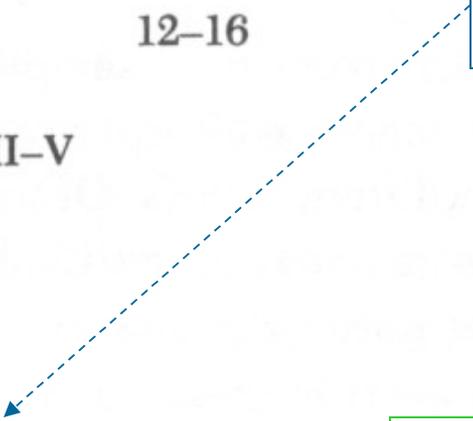
Part 1	Part 2	Part 3
73–81	81–85	85–94

Closing Theme: I

94–100

Coda:  $\flat$ II–V $\sharp$ –I( $\sharp$ )

Part 1	Part 2	Part 3
100–6	106–15	115–22



EXAMPLE 222. Beethoven, *Sonata in C minor*, III: Exposition, Theme 1, First Part

The image displays a musical score for the first part of the theme in the third movement of Beethoven's Sonata in C minor. The score is presented in two systems, each with a treble and bass clef staff. The first system covers measures 1 through 15. A dashed line above the treble staff indicates a coupling between measures 1 and 2, labeled with a circled 1 and the text "(coupling: c<sup>1</sup>-c<sup>2</sup>)". Another dashed line above the treble staff indicates a coupling between measures 11 and 12, labeled with a circled 2 and the text "(coupling: g<sup>1</sup>-g<sup>2</sup>)". Dynamics are marked with  $\alpha$  (measures 1-10) and  $\beta$  (measures 11-15). The bass staff has a  $\delta$  marking in measure 11. Roman numerals I,  $\beta$ , and V are placed below the bass staff at measures 1, 11, and 15 respectively. The second system covers measures 16 through 18. A dashed line above the treble staff indicates a coupling between measures 16 and 17, labeled with a circled 3 and the text "to g<sup>1</sup>, m. 16". Dynamics are marked with  $\alpha$  (measures 16-18). Roman numerals (V) and I are placed below the bass staff at measures 16 and 18 respectively.

EXAMPLE 223. Beethoven, *Sonata in C minor*, III: Exposition, Theme 1, Second Part

The image displays a musical score for the second part of the first theme in the third movement of Beethoven's Sonata in C minor. The score is written for two staves in C minor. The upper staff features a melodic line with several annotations: a circled '8' with the text '(coupling: c<sup>1</sup>-c<sup>2</sup>)' above it, a circled '11' above a later measure, and a dashed line indicating a continuation 'to e<sup>b</sup>3'. Dynamics are marked with  $\alpha$  and  $\beta$ . The lower staff provides a harmonic accompaniment with chords and moving lines, also annotated with  $\alpha$  and  $\beta$ . Specific pitch classes are labeled as  $\alpha: c^3-$ ,  $-b^2$ , and  $-c^2$ . The key signature has two flats, and the time signature is 3/4.

### EXAMPLE 224. Beethoven, *Sonata in C minor*, III: Exposition, Transition to Theme 2

The image displays two systems of musical notation for the transition to Theme 2 in the third movement of Beethoven's Sonata in C minor. The first system, starting at measure 12, features a treble clef staff with a melodic line and a bass clef staff with a bass line. A dashed line labeled "from f<sup>3</sup>" spans the first two measures of the treble staff. Below the treble staff, the intervallic analysis is shown:  $\alpha: c^3-$  for the first measure,  $(c^3-c^2)$  and  $b^1-$  for the second measure, and  $c^1$  etc. for the third measure. The second system, starting at measure 14, also shows a treble and bass staff. A dashed line labeled "from g<sup>2</sup> m. 7" spans the first two measures of the treble staff. The intervallic analysis for the first measure of the second system is  $\alpha$ . The notation includes various musical symbols such as notes, rests, and dynamic markings.

EXAMPLE 225. Beethoven, *Sonata in C minor*, III: Exposition, Theme 2, First Part

The image shows a musical score for the first part of the second theme in the third movement of Beethoven's Sonata in C minor. The score is written for piano and consists of two staves: a treble staff and a bass staff. The key signature is C minor (three flats) and the time signature is 3/4. The music begins at measure 16, which is circled. Measure 19 is also circled. Measure 22 is circled and contains a fermata. A 'III' marking is placed below the bass staff in measure 17. Fingerings are indicated by numbers in parentheses: (5) above the treble staff in measure 17, and 6, 4, 5, and 3 below the bass staff in measure 22. The notation includes eighth and sixteenth notes, rests, and dynamic markings.

EXAMPLE 226. Beethoven, *Sonata in C minor*, III: Exposition, Theme 2, Second Part

(coupling:  $g^1 - g^2$ )

to  $ab^2$  m. 31 (28)

Theme 2 in bass

The image shows a musical score for two staves, treble and bass clef, in C minor. The score is annotated with several elements: a circled number '24' at the start of the first staff; a circled number '28' at the end of the first staff; a dashed line labeled '(coupling:  $g^1 - g^2$ )' connecting the two staves; and a solid line labeled 'to  $ab^2$  m. 31' with a circled '28' at its end, indicating a transition to a later measure. The text 'Theme 2 in bass' is written below the bass staff.

### EXAMPLE 227. Beethoven, *Sonata in C minor*, III: Exposition, Theme 2, Third Part

(unfolding:  $c^3 - b\flat^1 (\beta)$   
 $ab^2 - g^1$ )

(coupling:  $f^1 - f^3$ )

28

$\alpha$

$\beta$

$\alpha$

$\beta$

$ab^2 -$

$- ab^1 - g^1$

32

3 (5)

2

to  $d^3$   
m. 34



EXAMPLE 229. Beethoven, *Sonata in C minor*, III: Exposition, Closing Theme

The musical score is presented in two staves: a treble staff (top) and a bass staff (bottom). The key signature is C minor, indicated by two flats (Bb and Eb). The time signature is not explicitly shown but is 3/4. The score includes several slurs and accents. Circled numbers 38 and 43 are placed above the treble staff. Fingering numbers (1, 2, 3) are written above notes in measures 38-40 and 42-44. A beta symbol ( $\beta$ ) is used to denote a fingering change in measure 43, with the notation  $\beta: Cb-Bb$  below the bass staff. Fingering numbers 6, 5, 3, 4, and 3 are also present in the bass staff in measures 43 and 44.

# EXAMPLE 230. Exposition, Codetta

(43)

( $e_b^1 - e_b^3$ )

$\alpha$  &  $\beta$

EXAMPLE 231. Beethoven, *Sonata in C minor*, III: Development, First Part

The image displays a musical score for the first part of the development section of the third movement of Beethoven's Sonata in C minor. The score is written for piano and consists of two staves: a treble clef staff on top and a bass clef staff on the bottom. The key signature is C minor (three flats). The time signature is not explicitly shown but is 3/4. The score is annotated with several elements:

- Measure 46 is circled and labeled with the Greek letter alpha ( $\alpha$ ).
- Measure 47 is circled and labeled with the Greek letter alpha prime ( $\alpha'$ ).
- Measure 48 is circled and labeled with the letter N.
- Measure 49 is circled and labeled with the letter P.
- Measure 50 is circled and labeled with the Greek letter sigma ( $\sigma$ ).
- Measure 51 is circled and labeled with the Greek letter sigma ( $\sigma$ ).
- Measure 52 is circled and labeled with the Greek letter sigma ( $\sigma$ ).

Below the bass staff, there are harmonic annotations:

- III is written below measure 47.
- bass unfolding: g-B $\flat$  (m. 54) is written below measures 48-50.
- V of IV is written below measure 51.
- IV is written below measure 52.

Additional annotations include "(b $\flat$ <sup>1</sup> superimposed)" above measure 47 and various accidentals (sharps and flats) and notes (N, P) within the staff lines.

### EXAMPLE 232. Beethoven Sonata in C minor, III: Development, Second Part

The musical score consists of two staves. The upper staff is in treble clef and the lower staff is in bass clef. The key signature has two flats (B-flat and E-flat). Measure 52 is circled in the upper staff. A dashed line connects measure 52 to measure 57, which is also circled. Annotations include:  $(f^3 - f^1)$  above the upper staff;  $(ab^2 - ab^1)$  below the upper staff;  $f^2$  superimposed below the upper staff;  $eb^1$  below the upper staff;  $(bass\ unf.:\ g-Bb)$  above the lower staff;  $\alpha$  below the lower staff; and a first ending bracket labeled 'I' below the lower staff. A circled  $\hat{s}$  is at the end of the upper staff.

### EXAMPLE 233. Beethoven, *Sonata in C minor*, III: Development—Summary of Voice Leading

The image displays a musical score for Example 233, showing voice leading from measure 47 to 57. The score is written in C minor (three flats) and 3/4 time. It consists of two staves: a treble staff and a bass staff. Above the treble staff, four measures are circled and labeled with their measure numbers: 47, 52, 54, and 57. A vertical line is drawn between measures 47 and 52. Below the bass staff, Roman numerals III, IV, V, and I are placed under the corresponding measures. The music features a variety of note values, including quarter, eighth, and sixteenth notes, as well as rests. The bass line is particularly active, with many sixteenth-note passages. The treble line is more melodic, often featuring eighth-note patterns. The overall texture is dense and rhythmic.

EXAMPLE 234. Beethoven, *Sonata in C minor*, III: Reprise, Theme 2, First Part

73 5

76

79  $\beta: a^2 -$

6 4

$\beta$  5 3

-g<sup>1</sup>

5

V I

EXAMPLE 235. Beethoven, *Sonata in C minor*, III: Reprise, Theme 2, Second Part

The image shows a musical score for the second part of the Reprise, Theme 2, from the third movement of Beethoven's Sonata in C minor. The score is written for piano and consists of two staves: a treble clef staff on top and a bass clef staff on the bottom. The key signature is C minor (three flats). The time signature is not explicitly shown but is 3/4. The score begins at measure 81, marked with a circled '81' and a circled '5' above it. The first staff has a melodic line with slurs and a dashed line above it labeled '(coupling:  $g^2-g^1$ )'. The second staff has arpeggiated accompaniment with the word 'Arp' written above it. There are dynamic markings of  $\beta$  (piano) and  $-b$  (diminuendo) throughout the passage. The score ends at measure 85, marked with a circled '85'.

### EXAMPLE 236. Beethoven, *Sonata in C minor*, III: Reprise, Theme 2, Third Part

The image displays a musical score for the third part of the second theme in the Reprise of the third movement of Beethoven's Sonata in C minor. The score is written for piano and includes several analytical annotations:

- Measure 85:** Starts with a dynamic marking of  $\beta$  (piano) and a circled measure number 85. The bass line features a triplet of eighth notes.
- Measure 86:** Continues the melodic line with a dynamic marking of  $\beta$ . The bass line has a dynamic marking of  $\alpha$  (piano).
- Measure 87:** The melodic line is marked with a circled 87 and a  $\hat{5}$  above it. The bass line has a dynamic marking of  $\alpha$ .
- Measure 88:** A circled 88 is placed above the staff. The melodic line is marked  $f^3$  of development (coupling:  $f^3-f^2$ ). The bass line has a dynamic marking of  $\alpha!$  and a  $\hat{5}$  above it. Roman numerals I and V are indicated below the staff.
- Measure 89:** The melodic line is marked with a circled 89 and a  $\hat{4}$  above it. The bass line has a dynamic marking of  $\alpha!$  and a  $\hat{5}$  above it. Roman numerals I and V are indicated below the staff.
- Measure 90:** The melodic line is marked with a circled 90 and a  $\hat{2}$  above it. The bass line has a dynamic marking of  $\beta: f^1-$  and a  $\hat{2}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 91:** The melodic line is marked with a circled 91 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 92:** The melodic line is marked with a circled 92 and a  $\hat{2}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{2}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 93:** The melodic line is marked with a circled 93 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 94:** The melodic line is marked with a circled 94 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 95:** The melodic line is marked with a circled 95 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 96:** The melodic line is marked with a circled 96 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 97:** The melodic line is marked with a circled 97 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 98:** The melodic line is marked with a circled 98 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 99:** The melodic line is marked with a circled 99 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.
- Measure 100:** The melodic line is marked with a circled 100 and a  $\hat{1}$  above it. The bass line has a dynamic marking of  $-f-$  and a  $\hat{1}$  above it. Roman numerals V and I are indicated below the staff.

Additional annotations include a dashed line connecting measures 88 and 89, and a solid line connecting measures 89 and 90. The text "to  $d^2$  m. 94" and "to  $d^2$  m. 90" is written above the staff. The bass line includes a circled 6 and 5 in measures 88 and 89, and a circled 6, 4, and 3 in measure 90. The melodic line includes a circled 6 in measure 90 and a circled 6 in measure 91. The bass line includes a circled 6 in measure 91 and a circled 6 in measure 92. The melodic line includes a circled 6 in measure 92 and a circled 6 in measure 93. The bass line includes a circled 6 in measure 93 and a circled 6 in measure 94. The melodic line includes a circled 6 in measure 94 and a circled 6 in measure 95. The bass line includes a circled 6 in measure 95 and a circled 6 in measure 96. The melodic line includes a circled 6 in measure 96 and a circled 6 in measure 97. The bass line includes a circled 6 in measure 97 and a circled 6 in measure 98. The melodic line includes a circled 6 in measure 98 and a circled 6 in measure 99. The bass line includes a circled 6 in measure 99 and a circled 6 in measure 100.

EXAMPLE 237. Beethoven, *Sonata in C minor*, III: Reprise, Closing Theme

The image displays a musical score for the closing theme of the Reprise in the third movement of Beethoven's Sonata in C minor, Op. 10, No. 3. The score is written for piano and consists of two staves: a treble clef staff and a bass clef staff. The key signature is C minor (three flats) and the time signature is 3/4. The score covers measures 95 to 100. Measure 95 is marked with a circled '95' and a fingering of 3. Measure 96 is marked with a circled '96' and a fingering of 2. Measure 97 is marked with a circled '97' and a fingering of 1. Measure 98 is marked with a circled '98' and a fingering of 3. Measure 99 is marked with a circled '99' and a fingering of 2. Measure 100 is marked with a circled '100' and a fingering of 1. The score includes various musical notations such as slurs, ties, and dynamic markings (alpha and beta). There are also some annotations above the staff, including a delta symbol and the text '(Th. 1, m. 3)'. The bass staff has a circled '1' above the first measure, indicating a first finger fingering.

### EXAMPLE 238. Beethoven, *Sonata in C minor*, III: Reprise, Coda, First Part

(100)  $(c^1 - c^3)$

$\alpha$

$\beta$

(unfolding:  $e^b 3 - d^1$  m.107)  
 $g^b 2 - f^1$

(106) to  $f^1$

6  
4

7  
5

$bII: V-$

EXAMPLE 239. Beethoven, *Sonata in C minor*, III: Reprise, Coda, Second Part

The image shows a musical score for the second part of the Coda in the Reprise of the third movement of Beethoven's Sonata in C minor. The score is written for piano and consists of two staves: a treble clef staff and a bass clef staff. The key signature is C minor (three flats). The time signature is 3/4. The score begins at measure 106, which is circled and labeled '(Th. 2)'. A bracket above the treble staff indicates a phrase starting at measure 106 and ending at measure 109, with the annotation 'from gb²' pointing to the first measure. Measure 109 is circled. Measure 115 is circled and labeled '(5)'. Below the bass staff, there are harmonic annotations: 'bII: V' under measure 106, 'I' under measure 107, and 'β: A♭-G' under measure 114. The notation includes various rhythmic values, slurs, and articulation marks.

EXAMPLE 240. Beethoven, *Sonata in C minor*, III: Summary of Coda, First and Second Parts

The image shows a musical score for Example 240, which is a summary of the Coda from the first and second parts of the third movement of Beethoven's Sonata in C minor. The score is presented in two staves: a treble clef staff on top and a bass clef staff on the bottom. The key signature is C minor, indicated by two flats (Bb and Eb). The time signature is not explicitly shown but is 3/4. The score is divided into measures, with specific measures circled and labeled: 100, 103, 106, and 114. A bracket above the treble staff spans from measure 100 to 114. In measure 106, there is a dynamic marking 'N' (Normal) above the staff. In measure 107, there is a dynamic marking 'P' (Piano) above the staff. The treble staff contains various note values, including quarter notes, eighth notes, and sixteenth notes, with some notes beamed together. The bass staff contains a long slur covering measures 100 through 114, with notes underneath it.

### EXAMPLE 241. Beethoven, *Sonata in C minor*, III: Reprise, Coda, Last Part

The image displays a musical score for the final part of the Coda in the third movement of Beethoven's Sonata in C minor. It consists of two staves: a treble clef staff (Th. 2) and a bass clef staff (Th. 1, second part). The score is annotated with various symbols and numbers:

- Measure 115:** Circled number 115, a hat symbol ( $\hat{s}$ ), and the label "Th. 2".
- Measure 116:** A dashed line with  $(f^1 - f^3)$  above it, a hat symbol ( $\alpha$ ) above the staff, and the number "6" above "4".
- Measure 117:** A dashed line with  $(c^1 - c^3)$  above it, a hat symbol ( $\beta$ ) above the staff, and the number "7" above "4".
- Measure 118:** A dashed line with  $(e^3 - e^2)$  above it, a circled number 119, and the number "8" above "3".
- Measure 119:** A dashed line with  $(e^2 - e^1)$  above it, a hat symbol ( $\alpha$ ) above the staff, and the number "6" above "4".
- Measure 120:** A dashed line with  $(g^1 - g)$  above it, a hat symbol ( $\alpha$ ) above the staff, and the number "8" above "3".
- Measure 121:** A dashed line with  $(\hat{s})$  above it, a hat symbol ( $\alpha$ ) above the staff, and the number "6" above "4".
- Measure 122:** A dashed line with  $(\hat{s})$  above it, a hat symbol ( $\alpha$ ) above the staff, and the number "8" above "3".

At the bottom left, the text "Th. 1, second part" is written below the bass staff.